

Yuan Ze University, College of Management

Doctor of Philosophy Program Study Guide

(For Foreign Students Entering in academic year 109)

Passed by the 6th Academic Affairs Meeting, Academic Year 2023, on January 03, 2024

A. General rules

- a. This guide is written following the “Yuan Ze University Regulations for the Master’s and Doctoral Degree Qualifying Examination.”
- b. The College of Management Doctor of Philosophy program (hereinafter Ph.D. program) consists of a management group, a finance group, and an accounting group.

B. Number of study years and school regulations for credits

- a. The study length in years for a doctoral program is 2-7 years.
- b. School regulation for credits and compulsory/ elective courses are:
 1. Students in a Ph.D. program need to complete a minimum of 18 credits and a doctoral dissertation.
 2. The Ph.D. program courses are:
 - i. Basic Compulsory Courses (3 credits): Research Methodology for Social Sciences
 - ii. Other Compulsory Courses (6 credits):

Group	Compulsory Courses
Management	● Strategic Theories and Management ● Behavioral Science Theories and Management
Finance	● Derivative Securities ● Econometrics
Accounting	● Financial Accounting Theory ● Econometrics

- iii. Other Elective Courses: Refer to the list of required courses provided by the Doctor of Philosophy program. The management related area which is not on the list require the Course Sign Up Form that is approved by the dissertation advisor or instructor and sent to the Affair Council for a final decision.
- iv. Prerequisite Courses:

Students need to apply for any prerequisite course waivers at least one week before the start date of each academic semester. These prerequisite courses are not included in the graduation credits’ transcript.

Group	Prerequisite Courses
Finance	Financial Management
Accounting	Intermediate Accounting (6 Credits Min); Cost or Management Accounting (6 Credits Min); and Auditing (6 Credits Min)

3. Students must take the Seminar in Management course within the first two years after starting the Ph.D. study. This course is zero credit. In addition, students should give an academic speech at least once in the Special Topics venue or Seminar before they do their doctoral oral defense.
4. Within three years after choosing their advisor, students should submit an application to an excellent or top class international conference and receive an acceptance letter from that conference and then take the "Independent Study" course offered by the Ph.D. program.

C. Examination

Ph.D. students must submit the "Notification of Learning Process" (including Qualifying Examination/ Dissertation Proposal, Attachment 1) with the required certain period of time and pass the examinations listed below:

Description		Qualifying Examination	
Group	Time Table	subjects	
Management	Held at least once a semester (a week before the start date of the semester)	Research Methodology for Social Sciences	- Strategic Theories and Management - Behavioral Science Theories and Management (Choose 1 from 2)
Finance		- Research Methodology for Social Sciences - Econometrics (Choose 1 from 2)	- Derivative Securities - Empirical Finance (Choose 1 from 2)
Accounting		Financial Accounting Theory	- Advanced Managerial Accounting - Advanced Auditing (Choose 1 from 2)
Remarks	- The qualifying examination and any re-examination must be passed within three years (6 semesters). Those who finally fail to pass must drop out from the school. - After passing the qualifying examination courses (70 points or above), students may apply for their Qualifying Examination. The grade of each subject is calculated independently, and a passing grade is 70 points or more (including 70 points). - Students who fail the qualifying examination for the first time, can retake the exam only once, regardless of the subject of the qualifying examination. Those who fail to pass the re-examination must drop out from the school. - The qualification examination acceptance may not be revoked after the application is filed. Students who need to withdraw from other qualifying examination due to unavoidable circumstance should apply a week before the examination date.		

	Student who cannot meet this requirement due to a major incident can submit the re-examination application one week later, and the failure record may be canceled after a committee meeting. But still, student can apply only one time. 5. Student who published in a top international journal are qualified to have the qualifying examination waived, as follows : (1)The journal paper should be SSCI, SCI, or TSSCI. (2)The journal paper should be published after enrolling in a Ph.D. program at Yuan Ze University, and published under the name of Yuan Ze University with one specially appointed professor as a co-author. (3)The journal paper can only be applied by one Ph.D. student, and the paper will not be counted as any research points for graduation. (4)Students should prepare the application form, “Application for Ph.D. Qualifying Examination Waive” as Attachment 11, a journal accepted letter (publisher of paper will not have to submit). Send an abstract of the paper and a referral from the journal to Department/School office. (5) The qualifying examination must be completed within three years of enrollment.
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D. Dissertation Process

a. Dissertation Advisor

Ph.D. students need to pass the qualifying examination before applying for their dissertation advisor (Application for Dissertation Advisor form, Attachment 2). Students may apply for one advisor or more for providing instruction together, and one should be a participating faculty member in the group for the student’s majoring. Students must acquire consent of the coordinator of the major group, if the advisor is not a faculty member in the students' major group, or the co-advisor is not a faculty member of the College of Management, YZU. Before finalization of any advisor issue, the Ph.D. program will indicate one participating faculty member as the mentor for advising that student’s on his or her studies. Doctoral candidates will decide their topic and then start to draft their dissertation under the instruction of that advisor.

b. Change of Advisor

A semester should be the interval between the time when applying for change in dissertation advisor and the time for applying for acceptance of the second dissertation advisor. Apply for a change in dissertation advisor will not be allowed in the same semester as the application for acceptance. Students need to fill out the form “Application for Changing Dissertation Advisor” as Attachment 3 and provide agreements from both the previous and the current advisors as necessary documents to the Ph.D. program committee meetings. Changing a dissertation advisor in the same semester as a student is applying for the dissertation proposal defense and the dissertation oral defense is not allow. There is a limitation of changing one’s advisor. It is only allowed one time during the entire Ph.D. study period. The grade on a dissertation oral defense will not be recognized if that student did not follow the proper procedure for changing

a dissertation advisor.

c. **Dissertation Committee**

Students may apply for their dissertation oral defense and constitute their dissertation committee after the 5th semester in the program. The dissertation committee is in charge of reviewing the dissertation oral defense. After consent from the dissertation advisor and Ph.D. program director of the committee members, five to nine scholars in related fields will constitute the committee. More than 50% of the committee members will be professors or associate professors, and more than 50% of the committee members will be faculty from universities other than YZU. Except for the dissertation committee, there should be one faculty member from the candidate's major group on the committee, and chairperson to be nominated by the committee to coordinate all issues. The form “List of Dissertation Committee Members” as Attachment 4 is a document used to keep a record of committee members for the program. Any change in that list needs to be updated as soon as the change is validated.

Students should exclude any three degrees of kinships or stakeholders from being their dissertation advisors or committee members; otherwise, these qualification shall be revoked and their oral defense grades shall not be recognized by the program. If the program confers a degree to a student who is found to have done so, the program shall revoke the degree and issue a public notice to announce the invalidation of the previously issued degree.

d. **Defense of Dissertation Proposal**

Each application documents need to be approved by dissertation advisors and the coordinator for the student's major group and an approval for referencing in the Ph.D. Program Committee meeting. The application documents include the following:

- Notification of Learning Process (as Attachment 1)
- List of Dissertation Committee Members (as Attachment 4)
- Transcript (grades) of Ph.D. program
- Checklist for Applying for Dissertation Proposal Defense (as Attachment 7)

A Dissertation Proposal Defense will be attended by the Dissertation Committee members at the school. These committee members will work out an average score. The passing score is 70 out of 100. The Dissertation Proposal Defense and the Dissertation Oral Defense should not be in the same semester. If students want to suspend their efforts after the Dissertation Proposal Defense, these students need to apply for conducting the Dissertation Proposal Defense again after returning to school. Seventh year students who fail to pass the Dissertation Proposal Defense **before the last semester** will have to drop out of school.

e. **Publication of Articles**

Ph.D. students must meet the articles published requirements noted below prior to obtaining their Ph.D. degree:

1. Students must co-author articles with dissertation advisors and must state Yuan Ze University as the research institution in the articles and the article needs to be published

while they are attending school.

2. Students need to achieve a minimum of 6 points in order to meet the articles published requirement. Except for those in their last year (the 7th year), students in the Management major use SSCI, SCI and TSSCI journal, students in Finance and Accounting major use as Level **III** journals defined by the College of Management, YZU.
3. All research categories for the published articles should be relevant to the management professional field and each article needs to be audited and gain the approval from dissertation advisor before submitting it for publication. The list of other anonymous peer review journals (and the points students can have), average-class international conferences and domestic conference should be reviewed by the coordinator of each group in advance and approved in the Ph.D. program committee meeting (Attachment 9).

Types of Publications		Points	Remarks
i. One journal paper (either published or accepted)			
	SSCI class journals	8	
	SCI class journals	8	
	TSSCI class journals	6	
	FLI class journals	6	
	Lever II journals as defined by each discipline	8	
	Lever III journals as defined by each discipline	6	
	Other anonymous peer review journals	3-4	Case-by-case review
ii. One paper published at a conference			
	Top class international conference	6	See the lists as below.
	Excellent class international conference	4	
	Average-class international conference	1-2	Case-by-case review but cannot exceed 2 points in total point
	Domestic conference	1-2	
iii. One published case study			
	Harvard Business School Publishing	8	
	Ivey Publishing, Richard Ivey School of Business Foundation	8	
	Kung-Hwa Management Cases Collections	2	Cannot exceed 4 points in total
	Taiwan Management Case Center	2	

4. A List of top class international conferences is below:

Group	Top class international conference
Management	■ Academy of Management (AOM) Annual Meeting ■ ACR North American Conference ■ International Conference on Information Systems (ICIS) ■ American Finance Association Annual Meeting/American Economic Association Annual Meeting (AFA/AEA) ■ Western Finance Association Annual Meeting (WFA) ■ Financial Management Association Annual Meeting (FMA) ■ Strategic Management Society Annual International Conference(SMS) ■ American Marketing Association Conference ■ Academy of Marketing Science Conference ■ (AAA) American Academy of Advertising
Finance	■ American Finance Association Annual Meeting/American Economic Association Annual Meeting (AFA/AEA) ■ Western Finance Association Annual Meeting (WFA) ■ Financial Management Association Annual Meeting (FMA) ■ Academy of Management (AOM) Annual Meeting ■ American Accounting Association(AAA) Annual Meeting
Accounting	■ American Accounting Association(AAA) Annual Meeting ■ American Accounting Association(AAA) Regional Meeting ■ American Accounting Association(AAA) Section Meeting ■ Financial Management Association Annual Meeting (FMA) ■ American Finance Association Annual Meeting/American Economic Association Annual Meeting (AFA/AEA)

5. A List of Excellent class international conferences is below:

Group	Excellent class international conference
Management	■ Academy of Human Resource Development Annual Meeting ■ Asia Academy of Management Annual Meeting (AAOM) ■ Australia and New Zealand International Business Academy (ANZIBA) Annual Conference ■ Australian and New Zealand Academy of Management Conference (ANZAM) ■ British Academy of Management Conference (BAM) ■ Eastern Academy of Management Annual Meeting ■ EGOs Colloquium ■ European Association of Work and Organizational Psychology ■ International Labor and Employment Relations Association (ILERA) Asian Congress ■ International Labor and Employment Relations Association (ILERA) Biannual Congress ■ International Association for Chinese Management Research (IACMR) ■ International Association for Conflict Management (IACM) Annual Conference ■ International Conference on HRD Research and Practice Across Europe ■ Labor and Employment Relations Association (LERA) Annual Meeting ■ Midwest Academy of Management Annual Meeting ■ Northeast Decision Sciences Institute Annual Conference (NEDSI) ■ Society for Industrial & Organizational Psychology (SIOP) ■ Southwest Academy of Management Annual Meeting ■ Strategic Management Society Annual International Conference ■ The Academy of International Business (AIB) Annual Meeting ■ The European Academy of Management (EURAM) Annual Conference ■ American Marketing Association Conference ■ Academy of Marketing Science Conference ■ American Psychologist Association Conference ■ Asia-Pacific ACR Conference ■ European ACR Conference ■ Society of Consumer Psychology Conference ■ (ISPIM) International Society for Professional Innovation Management ■ (DSI) Decision Science Institute ■ (IAMOT) International Conference on Management of Technology ■ (AAA) American Academy of Advertising ■ Academy of International Business (AIB) ■ Strategic Management Society Conference(SMS) ■ EFA (European Finance Association Meeting) ■ EFMA (European Financial Management Association Meeting) ■ Financial Management Association (FMA) Asian Conference ■ Financial Management Association (FMA) European Conference ■ North American Meeting of the Econometric Society ■ European Meeting of the Econometric Society

	■ Asian Meeting of the Econometric Society ■ SoFiE Annual Conference ■ International Symposium on Econometric Theory and Applications (SETA) ■ PICMET(Portland International Center for Management of Engineering and Technology) ■ Or other equivalent-quality international conference approved by finance group faculty
Finance	■ EFA (European Finance Association Meeting) ■ EFMA (European Financial Management Association Meeting) ■ Financial Management Association (FMA) Asian Conference ■ Financial Management Association (FMA) European Conference ■ North American Meeting of the Econometric Society ■ European Meeting of the Econometric Society ■ Asian Meeting of the Econometric Society ■ SoFiE Annual Conference ■ International Symposium on Econometric Theory and Applications (SETA) ■ Midwest Finance Association Annual Meeting (MFA) ■ Asian Finance Association Conference (Asian FA) ■ Southern Finance Association Annual Meeting (SFA) ■ American Finance Association Annual Meeting ■ American Economic Association Annual Meeting ■ British Academy of Management Conference (BAM) ■ Eastern Academy of Management Annual Meeting ■ Midwest Academy of Management Annual Meeting ■ Southwest Academy of Management Annual Meeting ■ Or other equivalent-quality international conference approved by finance group faculty
Accounting	■ The Congress of the European Accounting Association (EAA) ■ EFA (European Finance Association Meeting) ■ Financial Management Association (FMA) Asian Conference ■ Financial Management Association (FMA) European Conference ■ EFMA (European Financial Management Association Meeting) ■ Asia-Pacific Conference on International Accounting Issues ■ American Finance Association Annual Meeting ■ American Economic Association Annual Meeting ■ Accounting and Finance Association of Australia and New Zealand (AFAANZ) Conference ■ Annual Global Management Accounting Research Symposium (GMARS) ■ SoFiE Annual Conference ■ International Symposium on Econometric Theory and Applications (SETA) ■ Or other equivalent-quality international conference approved by finance group faculty

6. Students should publish papers along with oral presentations at conferences, and should present in English at international conferences. Only the presenter's paper can be counted as a point-publication. If any other Ph.D. student/s co-write the paper with you, you need to fill in the “Quitclaim of Co-authored Dissertation” as Attachment 10 listing

all co-authors and including their signatures on it.

7. Similar content articles published at different conferences can only be counted as higher point after by reviewed at program committee meetings.

8. Definition of "one periodical article":

(1) Articles with one to four authors (at least one must be an Assistant Professor or above in the College of Management, YZU) can be counted as one publication.

(2) Articles with five to seven authors (at least three must be Assistant Professor or above; two must be an Assistant Professor or above in the College of Management, YZU), but can be counted as one-half a publication only.

(3) Articles with eight authors or above cannot be considered as pointed-publications.

(4) Articles with two students or above as authors will be counted as average points.

f. Oral Defense of Dissertation

Students should follow the procedures for the dissertation oral defense application along with the school calendar. These application documents including:

- Draft of dissertation
- Dissertation Recognition from Advisor (as Attachment 5)
- Checklist for Applying for Dissertation Oral Defense (as Attachment 8)

The committee members will work out an average score. The passing score is 70 out of 100. Students who fail on the oral defense may apply for another oral defense in the next semester, but with the limitation of one only second change.

Students who have already applied for their dissertation oral defense but cannot execute the defense, should submit a "Notification of Withdrawal of Application for Examination" (as Attachment 6) to withdraw before the deadline for the dissertation oral defense. This withdrawal of oral defense can only be applied for once. Students who do not submit the "Notification of Withdrawal of Application for Examination" form and do not execute their defense will be considered as failing the oral defense.

Students can appeal to the Ph.D. program when they reach the final year of Ph.D. study period and are qualified to apply for their oral defense but still cannot get the approval to execute that oral defense from their dissertation advisors.

In the event that the program confers a degree to a student who is found upon investigation to have committed plagiarism or used fraudulent means when preparing the dissertation, the program shall revoke said degree and issue a public notice to announce the invalidation of any previously issued degree.

g. Graduation Schedules

If any of the items in the following table are not completed within the specified time, the students will have to drop out from school.

Subject	Schedule
Qualifying examination or re-examination	Pass within three years.
Elective course: Independent Study	Within three years after choosing their advisor, students should submit an application to an excellent or top class international conference and receive an acceptance letter from that conference and then take the “Independent Study” course offered by the Ph.D. program.
Dissertation Proposal Defense	Need to pass one semester before the last semester (on 13 rd semester).

E. Noted Attachments

Attachment 1: Notification of Learning Process

Attachment 2: Application for Dissertation Advisor

Attachment 3: Application for Changing Dissertation Advisor

Attachment 4: List of Dissertation Committee Members

Attachment 5: Dissertation Recognition from Advisor

Attachment 6: Notification of Withdrawal Application for Examination

Attachment 7: Checklist for Applying for Dissertation Proposal Defense

Attachment 8: Checklist for Applying for Dissertation Oral Defense

Attachment 9: Application for Unlisted Journal/ Conference Approval

Attachment10: Quitclaim for Co-authored Dissertation

Attachment11: Application for Ph.D. Qualifying Examination Waiver

F. Supplementary Information

- This study guide has been implemented with the approval of the Program Committee meeting. Any amendment will also be implemented using the same process.
- For anything this study guide has not stated clearly, please refer to the relevant regulations of YZU.

(Attachment 1) Notification of Learning Process

Yuan Ze University College of Management

Ph.D. Program

Notification of Learning Process

Date: Year _____ Month _____ Day _____

Applicant		Student ID No.		Program	☐ Management ☐ Finance ☐ Accounting
Type of examinations	☐ Qualifying Examination Subject : _____ ☐ Dissertation Proposal Defense (論文計劃書口試) Topic of dissertation : _____ _____				
Expected examination date		Year _____ Month _____ Day _____			
Signature of mentor or dissertation advisor					
Signature of Coordinator of major field		Signature of Director of Ph.D. Program			

Signature of Applicant : _____

Yuan Ze University College of Management

Ph.D. Program

Application for Dissertation Advisor

Applicant		Student ID No.	
Program	☐ Management ☐ Finance ☐ Accounting	Major field	
The date of passing qualifying examination		Year_____ Month_____ Day_____	
I have reached an agreement with Prof. _____ to be my dissertation advisor. Signature of Applicant : _____ Year_____Month_____Day_____			
Signature of advisor		Checked by advisor	
		☐ The PhD faculty member must qualify for SA status. In addition, s/he must have published at least one paper in a Category II or above PRJ, or two papers in a Category III in the past five years.	
Signature of co-advisors		Checked by co-advisors	
		☐ The PhD faculty member must qualify for SA status. In addition, s/he must have published at least one paper in a Category II or above PRJ, or two papers in a Category III in the past five years. ☐ One of co-advisors, meet the conditions.	
Signature of Coordinator of major field			Signature of Director of Ph.D. Program
Remark	1. According to the Study Guide of Ph.D. program, students need to apply for the acceptance of one or above dissertation advisors within a 3 rd semester. 2. The Ph.D. program will pay advisors according to this application form. 3. The application for changing dissertation advisors needs to be reviewed and agreed by Program Committee meetings.		

(Attachment 3) Application for Changing Dissertation Advisor

Yuan Ze University College of Management

Ph.D. Program

Application for Changing Dissertation Advisor

Applicant		Student ID no.		Program	☐ Management ☐ Finance ☐ Accounting
Reasons to apply for changing					
Signature of previous dissertation advisor					
Signature of current dissertation advisor					
Signature of Coordinator of major field					
Signature of Director of Ph.D. Program					
Remark	1. The time to apply changing dissertation advisor will not be allowed in the same semester with the application of dissertation advisor for acceptance. 2. To apply for changing advisor is with limitation of one time chance during the doctoral program.				

(Attachment 4) List of Dissertation Committee Members

Yuan Ze University College of Management

Ph.D. Program

List of Dissertation Committee Members

Year_____Semester_____

Candidates		Student ID No.	
Topic of Dissertation			

[illegible]

(Attachment 5) Dissertation Recognition from Advisor

Yuan Ze University College of Management

Ph.D. Program

Dissertation Recognition from Advisor

I have agreed following dissertation under my supervision from the student of Ph.D. program to be sent for the review process for oral defense.

Name : _____

Student ID no. _____

Dissertation topic :

Signature of dissertation Advisor : _____

Date : Year _____ Month _____ Day _____

Yuan Ze University College of Management
Ph.D. Program

Notification of Withdrawal Application for Examination

Date:

Major Group & Year in Program				
Name				
Student ID no.				
Reasons to withdraw the application for examination:				
Approved with signature by:				
Dissertation Advisor	Director of Ph.D. Program	Dean of College of Management	Team Leader of Admission Section	Director of Office of Academic Affairs

(Attachment 7) Checklist for Applying Dissertation Proposal Defense

Yuan Ze University College of Management

Ph.D. Program

Checklist for Applying Dissertation Proposal Defense

Name : _____

Student ID no. : _____

Date of proposal defense : _____ Date of filling : _____

Major Group :

☐ Management

☐ Finance

☐ Accounting

Advisor : _____

A. Course

Classification	Course	Semester	Program	Grade	Confirm
Basic compulsory courses (3 credits)					
Other compulsory courses (6 credits)					
Research Method Courses (3 credits): Management/ Finance/ Accounting					
Elective courses in major field (Management 18 credits/Finance 15+3 credits/Accounting 18 credits)					
Seminar (not including in the graduation credits)					

Remark : Please attach the transcript to be approved.

(Attachment 7) Checklist for Applying Dissertation Proposal Defense

Checklist for Applying Dissertation Proposal Defense

B. Qualification Examination

Qualification Examination Subject	Examination date

C. Review results

☐ Acceptation

☐ Rejection

Explanation: _____

Signature of student: _____

Supervisors: _____

Coordinator of major field: _____

Director of Ph.D. Program: _____

(Attachment 8) Checklist for Applying Dissertation Oral Defense

**Yuan Ze University College of Management
Ph.D. Program**

Checklist for Applying Dissertation Oral Defense

Name : _____ Student ID no. : _____

Date of oral defense : _____ Date of filling : _____

Supervisor : _____

Dissertation topic : _____

Date of passing dissertation oral defense : _____ Year _____ Month _____ Day _____

A. Academic speech in special topics or seminars

Date : _____ Year _____ Month _____ Day _____ Place : _____

Speech Topic : _____

(B~E Below are graduation requirements, if you haven't publish the essay, you could temporarily leave them blank)

B. International Journal

Topic	Journal Name (Year, Page)	Doctor essay related chapters , pages	Journal Category

Remark:

- Please describe the relation between the journal and the doctor essay within 500 words.
- If your essay is already accepted or published, please attach your hard copy.

(Attachment 8) Checklist for Applying Dissertation Oral Defense

C. International Conference Essay

Topic	Conference Name (Location, Date)	Doctor essay related chapters , pages

Remark : Please attach the hard copy of the Essay

D. Published case study

Topic	Case Name (Year 、 Page)	Doctor essay related chapters , pages	Cass category

E. Other Ph.D. program related published essay

Topic	Journal/ Conference Name (Year, Page)	Doctor essay related chapters , pages

F. Review results

☐ Acceptation

☐ Rejection

Explanation: _____

Signature of student: _____

Dissertation committee members:

Supervisors: _____

Coordinator of major field: _____

Director of Ph.D. Program: _____

Yuan Ze University College of Management

Ph.D. Program

Application Form for Unlisted Journal / Conference Approval

Application Date: _____ / _____ / _____ (yyyy/mm/dd)

Student ID No.		Name		Major		Cell phone No.	
Journal Title					Checked by Program Major Coordinator		
					Is it an English periodical with anonymous review system? ☐ Yes ☐ 3 Points ☐ 4 Points ☐ No		
The above checked result will be submitted to the Ph.D. Program Committee Meeting for final approval. After your paper is accepted, please bring the following documents to the Ph.D. office. 1. Paper Acceptance Documents (e-mail or hardcopy) 2. Photocopy / Electronic file of the abstract / full text of the accepted paper							
Conference Title					Checked by Program Major Coordinator		
					Is it International or Domestic Conference with review system? ☐ Yes ☐ 1 Points ☐ 2 Points ☐ No		
The above checked result will be submitted to the Ph.D. Program Committee Meeting for final approval. After the paper is presented in the conference, please bring the documents to the Ph.D. office. 1. Conference Program and related information (e.g. proceedings, proof for participation, etc.) 2. Electronic Ticket or Boarding Pass Copy of Flight or Train 3. Paper Acceptance Documents (e-mail or hardcopy) 4. Paper cover page and the photocopy of abstract or full text of paper. (Only if the paper is accomplished at Yuan Ze and unpublished elsewhere)							
Applicant Signature _____							
Advisor		Program Major Coordinator			Ph.D. Program Chair		

(Attachment 10) Quitclaim of co-authored dissertation

Yuan Ze University College of Management

Ph.D. Program

Quitclaim of Co-authored Dissertation

Student ID No. _____ Name _____ Major _____ Applicant Signature _____

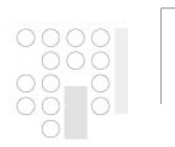
Conference Title (/ /) yyyy/mm/dd	
Dissertation topic	
Graduation credits quitclaim of co-authored PhD students	* Co-authored conference paper may only be counted to 1 PhD student, the others are obliged to abandon this right. Signature of Co-author 1. _____ 2. _____ 3. _____
Advisor	

Yuan Ze University College of Management

Ph.D. Program

Application for PhD Qualifying Examination Waive

Student ID No.		Name	
Journal Title (Year, Page)			
Dissertation Topic			
Notification for Examination Waive			
1. Waive application journal should be SSCI, SCI, or TSSCI. 2. The journal paper should be published after enrolling Ph.D. program in Yuan Ze University, and published in the name of Yuan Ze University with one specially appointed professor as co-author. 3. The journal paper could only be applied by one Ph.D. student, and this paper would not be counted as research points for graduation. 4. Students should prepare application form, journal appetence letter (published paper won't have to submit), abstract of paper and the referral of journal to office. 5. Qualifying examination should be complete within four years' enrollment.			
	Type of Journal	Check box	
	SSCI Journal		
	SCI Journal		
	TSSCI Journal		
Abandonment of Qualifying Examination by Co-authored Ph.D. students			
*Co-authored conference paper may only be counted to 1 PhD student, the others are obliged to abandon this right. Signature of Co-author 1. _____ 2. _____			
Comment of advisor			
I confirm that the above papers do not violate academic ethics, and ☐ Agree that the connotation of the paper meet the requirement of professional management field ☐ Disagree with the connotation of professional management field fit Any violation of academic ethic found, Ph.D. office hold the right to withdraw this waive result, the student need to pass the qualifying exam (including make-up exam) within the following 2 semesters.			
Advisor		Date	year month day



Declaration

According to the resolution of the Ph.D. Program Meeting, College of Management, Yuan Ze University, a self-assessment checklist and a declaration of “Non-Submission to Predatory Publishers Journals or Conferences” are to be submitted to show responsible while applying for waive Qualifying Examination and Recognition of Graduation Points.

I, _____ (Student ID: _____) declare that I am fully aware of the above resolution, and have completed the following self-assessment checklist (please check the box if completed). If offence is found later in any submission to predatory publishers journals or conferences, I will not object to the disqualification of Qualifying Examination Exemption and Recognition of Graduation Points.

- ☐ Checked at Beall’s List for publishers and journals information.
- ☐ Completed Think. Check. Submit. (Predatory Journal Self-Check Form) or Think. Check. Attend. (Predatory Conferences Audit Procedure) for assessment.
- ☐ Confirmation of not publication to the following publishers: OMICS (INDIA), WASET (TURKEY), SCIRP (USA), MDPI, Frontiers, Baishideng, Mega .

Student Signature	_____	Date
		Year____Month____Date____
Advisor Signature	_____	Date
		Year____Month____Date____